A Summary of Techniques for FMEA & FTA

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8.1 FMEA & FTA

Two good Techniques to examine possible failures are:

- 1. FMEA(Failure Mode and Effect Analysis)
- 2. FTA(Fault Tree Analysis).

Failure Mode Effects Analysis (FMEA)

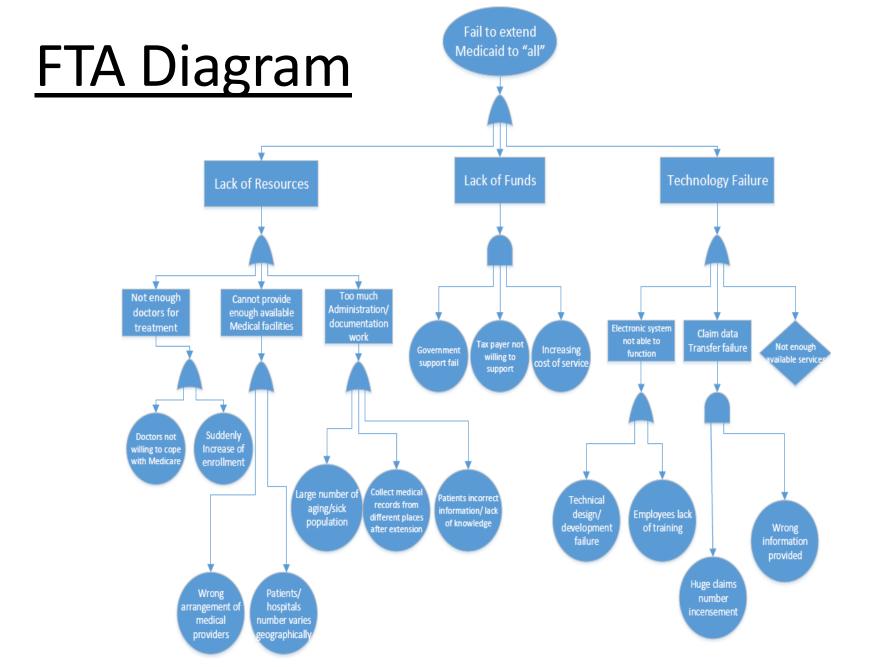
- □ An FMEA:
 - ☐ Identifies the ways in which a product or process can fail (bottom up analysis)
 - ☐ Estimates the risk associated with specific causes
 - ☐ Prioritizes the actions that should be taken to reduce risk
- ☐ FMEA is a team tool
- ☐ There are two different types of FMEAs:
 - 1. Design
 - 2. Process

FMEA

Functi on	Failure Mode	Effects	Severity	Causes	Occurre nce	Detection Action	Ease of detection	Risk Priority Number	Recommen ded Actions
Medica re	Cannot see Doctor	Long wait time to see doctor	5	Claims Processing Times	5	None	5	125	Training
	Eligibility	No Insurance	9	No/Little insurance opportuniti es	5	None	5	200	Creation of Additional Healthcare Options
Medica re for All		Long wait times to see doctor	8	Doctors not willing to work with Medicare	5	None	6	200	Expand Medical Network
				Mass enrollment	7	None	7	392	Limit Number of new enrollees, Phased approach
				Large Sick/Aging Population	6	Update Notice	7	336	Expand Medical Network

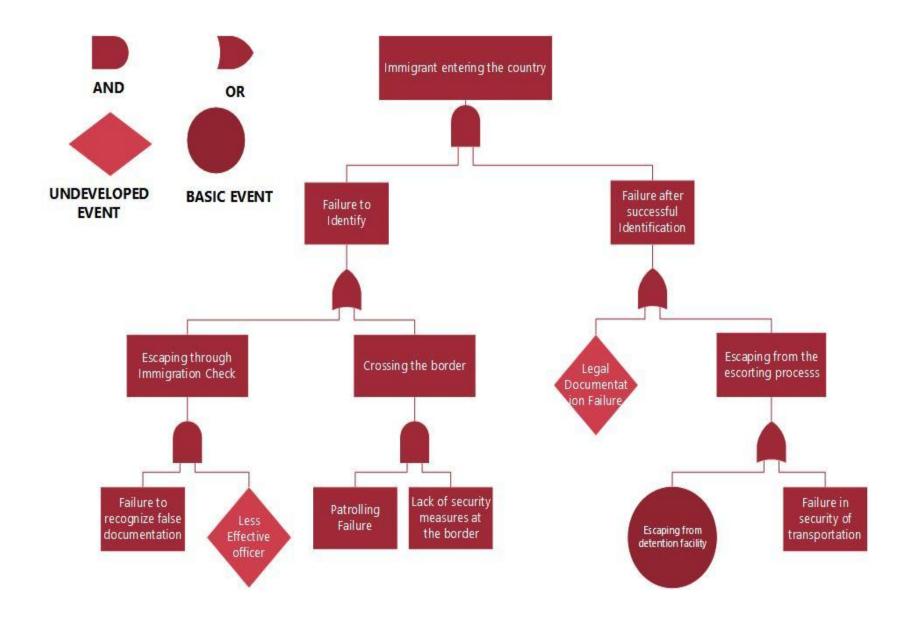
Fault Tree Analysis (FTA)

- ■A top down failure assessment technique for identifying safety concerns:
 - ☐ Identification of a single failure point and safety concerns
 - Evaluation of software, non-machine interfaces and design change impacts
 - Simplification of maintenance and trouble-shooting procedures
 - Assessment of modification or enhancements



Process Step	Failure Mode	Severity 1-10 10 = most severe	Occurrence 1-10 10 = highest prob. of occurrence	Detection 1-10 10 = lowest prob. of detection	RPN ¹	Improvement Action
1 DHS identifies illegal immigrants	Immigrants Escape	10	2	9	180	Trained officers and Improved checking
2 Prepare Legal Documentation	Incorrect Documentati on and Data entry errors	7	3	6	126	Training Employees for Legal documentation
3 Determining Detention of Immigrants	Wrong Determinatio n	9	6	7	162	Identify the legal and political flaws
4 Accommodate Detained Immigrants	Lack of facility	5	8	2	80	Improve accommodation capacity
5 Escorting detainees back to their home country	Lack of resources And political reasons	9	3	5	135	Improving Political ties and arranging better transportation

Fault Tree Analysis



Failure Modes And Effects Analysis (FMEA)

- FMECA is a step by step approach for identifying all possible failures in a design, manufacturing or assembly process, or product or service.
- FMECA is one of the most important and most widely used tool of reliability analysis.

Failure Modes And Effects Analysis (FMEA)

FUNCTI ON	FAILUR E MODE	EFFECTS	CAUSES	OCCU RRENC E	SEVERIT Y	DETECT ION	RP N	ACTIONS
ZIKA VIRUS SPREA D CONTR OL	Pregna ncy	Infant death	Body fluids	4	8	6	19 2	Medica= Tion
	Mosqu itos	Rapid Spread	Unhygi enic	7	8	7	39 2	Use repellants
	Travel	Transmi ssion	Travel to affect ed areas	5	7	7	24 5	Avoid affected areas
	Sex	Transmi ssion	Semen	6	5	4	12 0	Use Condoms

Failure Modes And Effects Analysis (FMEA)

Criticality Analysis

A relative measure of the consequence.

Difficult to perform for a functional FMEA due to the lack of detailed failure data at this level.

Failure Mode Criticality Number = αx frequency x hours of cycles $x \beta$

α -the percent of occurrence of each failure mode.

Frequency – the rate of occurrence.

β – Probability that the failure effect will occur.

FAULT TREE ANALYSIS (FTA)

- FTA is a top down failure consequence assessment technique to identify safety concerns
- Will identify the causes of product failures which may then be eliminated
- Updating the FTA to reflect design changes will assess whether previous problems have been eliminated, or new problems have been introduced.

